

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

Name of the Insurer: Universal Sampo General Insurance Company Limited

Registration Number: 134

Statement as on: 31st March 2022

Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)

(Business within India)

(Rs. in Lakhs)

Periodicity of Submission: Quarterly

Section I			
No	PARTICULARS	SCH ++	AMOUNT
1	Investments (Shareholders)	8	76,084
	Investments (Policyholders)	8A	2,98,060
2	Loans	9	-
3	Fixed Assets	10	2,600
4	Deferred Tax Assets		1,872
5	Current Assets		
	a. Cash & Bank Balance	11	9,786
	b. Advances & Other Assets	12	1,31,082
6	Current Liabilities		-
	a. Current Liabilities	13	3,15,962
	b. Provisions	14	90,326
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		
	<b>Application of Funds as per Balance Sheet (A)</b>		<b>1,13,196</b>
	<b>Less: Other Assets</b>	<b>SCH ++</b>	<b>Amount</b>
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	2,600
3	Deferred Tax Assets		1,872
4	Cash & Bank Balance (if any)	11	9,786
5	Advances & Other Assets (if any)	12	1,31,082
6	Current Liabilities	13	3,15,962
7	Provisions	14	90,326
8	Misc. Exp not Written Off	15	-
9	Investments held outside India		
10	Debit Balance of P&L A/c		
	<b>Total (B)</b>		<b>(2,60,947)</b>
	<b>'Investment Assets'</b>	<b>(A-B)</b>	<b>3,74,143.62</b>

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities and Sintex Ltd for Rs 924 Lakhs in Shareholders Fund & Rs. 3621 Lakhs in Policyholders Fund

Section II										
No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value (h)
			Balance	FRSM <sup>+</sup>						
			(a)	(b)						
1	Central Govt. Securities	Not less than 20%	-	24,161	94,653	1,18,814	31.69	-	1,18,814	1,17,531
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%	-	33,857	1,32,634	1,66,491	44.41	-	1,66,491	1,65,839
3	<b>Investment subject to Exposure Norms</b>		-	42,375	1,66,007	2,08,383	55.59	332.25	2,08,715	2,08,866
	a. Housing / Infra & Loans to SG for Housing and FFF	Not less than 15%					-			
	1. Approved Investments		-	25,050	98,134	1,23,183	32.86	19.68	1,23,203	1,24,050
	2. Other Investments		-	-	-	-	-	-	-	-
	b. Approved Investments	Not exceeding 55%	-	16,840	65,972	82,812	22.09	248.77	83,061	83,415
	c. Other Investments		-	485	1,902	2,387	0.64	63.80	2,451	1,401
	<b>Investment Assets</b>	<b>100%</b>		<b>76,232</b>	<b>2,98,641</b>	<b>3,74,873</b>	<b>100.00</b>	<b>332.25</b>	<b>3,75,206</b>	<b>3,74,705</b>

**Certification:**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

**Note:**

1. (+) FRSM refers 'Funds representing Solvency Margin'
2. Other Investments' are as permitted under 27A(2)
3. Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
4. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
5. SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
6. Investment Regulations, as amended from time to time, to be referred

**PART - B**

Name of the Insurer: Universal Sampo General Insurance Company Limited

Registration Number: 134

Statement as on: 31st March 2022

Statement of Accretion of Assets

(Business within India)

(Rs. Lakhs)

Periodicity of Submission : Quarterly

No	Category of Investments	COI	Opening Balance	% to Opening Balance	Net Accretion for the Qtr.	% to Total Accrual	TOTAL	% to Total
			(A)		(B)		(A+B)	
1	Central Govt. Securities		1,11,552	31.01	7,262	48.11	1,18,813.93	31.69
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl		1,58,590	44.08	7,900	52.35	1,66,490.78	44.41
3	<b>Investment subject to Exposure Norms</b>		0		0			
	a. Housing & Loans to SG for Housing and FFE		0		0			
	1. Approved Investments		45,785	12.73	4,964	32.89	50,748.42	13.54
	2. Other Investments				0			
	b. Infrastructure Investments				0			
	1. Approved Investments		84,452	23.47	-12,068	-79.96	72,384.13	19.31
	2. Other Investments				0			
	c. Approved Investments		69,062	19.20	13,801	91.44	82,862.85	22.10
	d. Other Investments (not exceeding 15%)		1,892	0.53	495	3.28	2,387.21	0.64
	Total		3,59,780	100	15,093	100	3,74,873	100

**Note:**

1. Total (A+B), fund wise should tally with figures shown in Form 3B (Part A)
2. Investment Regulations, as amended from time to time, to be referred